

Docket No.  
PO9-99-041

# Declaration and Power of Attorney For Patent Application

## English Language Declaration

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

### ACCESSING LOCAL OBJECTS USING LOCAL ACCESS PROXIES

the specification of which

(check one)

☐ is attached hereto.

☒ was filed on 06/14/99 as United States Application No. or PCT International  
Application Number 09/332,818  
and was amended on \_\_\_\_\_

(if applicable)

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365(b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Priority Not Claimed

_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/>

I hereby claim the benefit under 35 U.S.C. Section 119(e) of any United States provisional

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

I hereby claim the benefit under 35 U. S. C. Section 120 of any United States application(s), or Section 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. Section 112, I acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, C. F. R., Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application:

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

\_\_\_\_\_  
(Application Serial No.)

\_\_\_\_\_  
(Filing Date)

\_\_\_\_\_  
(Status)  
(patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. *(list name and registration number)*

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John E. Hoel, Reg. No. 26,279

Joseph C. Redmond, Jr., Reg. No. 18,753

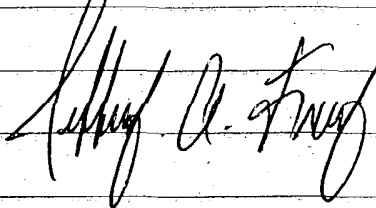
Jeff Rothenberg, Reg. No. 26,429

Kevin P. Radigan, Reg. No. 31,789

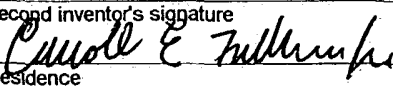
Blanche E. Schiller, Reg. No. 35,670

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**Blanche E. Schiller (518) 452-5600**

Full name of sole or first inventor	<b>JEFFREY A. FREY</b>
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Date  
9/17/99

Full name of second inventor, if any	<b>CARROLL E. FULKERSON, JR.</b>
Second inventor's signature	
Residence	<b>6129 Wolverhampton Drive, Raleigh, NC 27603</b>
Citizenship	<b>United States of America</b>
Post Office Address	<b>6129 Wolverhampton Drive, Raleigh, NC 27603</b>

Date  
8/18/99

Full name of third inventor, if any <b>RODNEY A. LITTLE</b>	
Third inventor's signature <i>Rodney A. Little</i>	Date 9/1/1991
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Citizenship <b>United States of America</b>	
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Full name of fifth inventor, if any <b>CHRISTOPHER P. VIGNOLA</b>	
Fifth inventor's signature <i>Christopher P. Vignola</i>	Date 9-9-1999
Residence <b>16 Fudel Drive, Port Jervis, NY 12771</b>	
Citizenship <b>United States of America</b>	
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Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Post Office Address	

**Associate Power Of Attorney Or Agent (37 CFR 1.34)  
(For Representation Related To A Patent Application)**

Docket No.  
**POU919990041US2**

In Re Application Of: **Frey et al.**

Serial No.

Filing Date  
**Herewith**

Examiner  
**Unknown**

Group Art Unit  
**Unknown**

Invention: **ACCESSING LOCAL OBJECTS USING LOCAL ACCESS PROXIES**

TO THE COMMISSIONER FOR PATENTS:

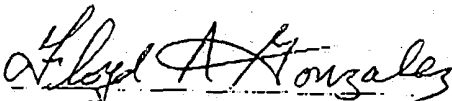
Please recognize the following as ☒ Associate Attorney ☐ Associate Agent in this application.

Name: **Wayne F. Reinke**

Reg. No.: **36,650**

Address: **Heslin Rothenberg Farley & Mesiti P.C.  
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Tel. No. **518-452-5600**



*Signature of Principal Attorney or Agent of Record*

**Floyd A. Gonzalez, Esq.  
Registration No. 26,732  
International Business Machines Corporation  
Intellectual Property Law  
2455 South Road  
Poughkeepsie, New York 12601-5400**

*Registration Number & Address of Principal Attorney or Agent of Record*

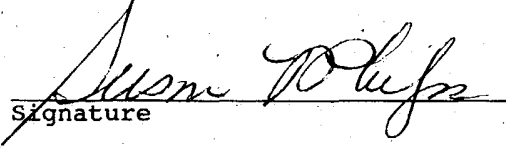
Dated: **July 23, 2003**

I hereby certify that this correspondence is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 and is addressed to: Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on July 23, 2003.

**Rosalind Q. Spill r**

## RECORDATION FORM COVER SHEET - PATENTS ONLY

To the Honorable Commissioner of Patents and Trademarks. Please record the attached original documents or copy thereof.

<p>1. Name of conveying party(ies):</p> <p>a) <u>Jeffrey A. Frey</u> g) _____ b) <u>Carroll E. Fulkerson, Jr.</u> h) _____ c) <u>Rodney A. Little</u> i) _____ d) <u>Gary S. Puchkoff</u> j) _____ e) <u>Christopher P. Vignola</u> k) _____ f) _____ l) _____</p> <p>More names attached? <u>Y</u> <u>X</u> N</p>		<p>2. Name and address of receiving party(ies):</p> <p>Name: <u>INTERNATIONAL BUSINESS MACHINES CORPORATION</u> Address: <u>New Orchard Road</u> <u>Armonk, NY 10504</u></p> <p>More names attached? <u>Y</u> <u>X</u> N</p>	
<p>3. Nature of Conveyance: <u>X</u> Assignment</p>			
<p>4. Application number: <u>09/332,818</u> Filed: <u>June 14, 1999</u> Filed with new application: <u>yes</u> <u>X</u> no</p> <p>Execution date(s):</p> <p>a) <u>September 7, 1999</u> g) _____ b) <u>August 18, 1999</u> h) _____ c) <u>September 1, 1999</u> i) _____ d) <u>August 18, 1999</u> j) _____ e) <u>September 9, 1999</u> k) _____ f) _____ l) _____</p> <p>Additional numbers attached? <u>Y</u> <u>X</u> N</p>			
<p>5. Name and address of party to whom correspondence concerning document should be mailed:</p> <p>Name: <u>Lily Neff, Attorney</u> <u>IBM Corporation</u> <u>Intellectual Property Law</u> <u>522 South Road, M/S P386</u> <u>Poughkeepsie NY 12601-5400</u></p>		<p>6. Total # of applications and patents involved: <u>01</u></p> <p>7. Total fee (37 CFR 3.41): <u>\$ 40.00</u></p> <p>8. Charge to Deposit Account <u>09-0463.</u></p>	
<p>DO NOT USE THIS SPACE</p>			
<p>9. Statement and Signature: To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.</p> <p><u>Susan L. Phelps</u> <u></u> <u>09/10/99</u> Signature Date</p>			
<p>Total number of pages including cover sheet, attachments, and document: <u>3</u></p>			
<p>Express Mail Label No. (if applicable): _____ Docket No.: <u>P09-99-041</u></p>			

A S S I G N M E N T

Whereas, We

Inventor (1) Jeffrey A. Frey	County of Ulster	of New Paltz, and State of New York,
Inventor (2) Carroll E. Fulkerson, Jr.	County of Wake	of Raleigh, and State of North Carolina,
Inventor (3) Rodney A. Little	County of Dutchess	of Poughkeepsie, and State of New York
Inventor (4) Gary S. Puchkoff	County of Dutchess	of Poughkeepsie, and State of New York
and		
Inventor (5) Christopher P. Vignola	County of Orange	of Port Jervis, and State of New York

have invented certain improvements in ACCESSING LOCAL OBJECTS USING LOCAL ACCESS PROXIES

and executed, respectively, a United States patent application therefor on

(1) *September 7, 1999.* (2) *August 18, 1999.*  
(3) *September 1, 1999.* (4) *August 18, 1999.* and  
(5) *September 9, 1999.*

Whereas, INTERNATIONAL BUSINESS MACHINES CORPORATION, a corporation of New York, having a place of business at Armonk, New York 10504, (hereinafter called IBM,) desires to acquire the entire right, title and interest in the said application and invention, and to any United States and foreign patents to be obtained therefor;

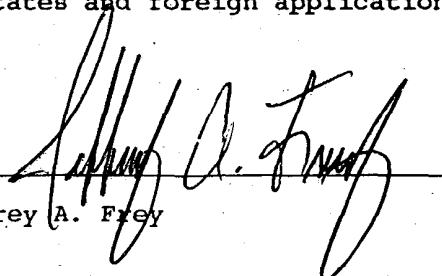
Now therefore, for a valuable consideration, receipt whereof is hereby acknowledged, and, the above named, hereby sell, assign, and transfer to IBM, its successors and assigns, the entire right, title and interest in the said application and invention therein disclosed for the United States and foreign countries, and all rights of priority resulting from the filing of said United States application, and we request the Commissioner of Patents and Trademarks to issue any Letters Patent granted upon the inventions set forth in said application to IBM, its successors and assigns; and we hereby agree that IBM may apply for foreign Letters Patent on said invention and we will execute all papers necessary in connection with the United States and foreign applications when called upon to do so by IBM.

Signed

(1) at Poughkeepsie, NY

on

*9/7/99*

  
Jeffrey A. Frey

(2) at Poughkeepsie, NY  
on 8/18/99

Carroll E. Fulkerson, Jr.  
Carroll E. Fulkerson, Jr.

(3) at Poughkeepsie, NY  
on 9/1/1999

Rodney A. Little  
Rodney A. Little

(4) at Poughkeepsie, NY  
on 8-18-99

Gary S. Puchkoff  
Gary S. Puchkoff

(5) at Poughkeepsie, NY  
on 9-9-1999

Christopher P. Vignola  
Christopher P. Vignola